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**PROFILE**

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- 25+ years of executive management, business development & capitalization experience
- 5 years of clean technology practice including: clean energy technology research, business development and capitalization; clean technology management & economics
- Specialized knowledge & expertise in clean energy technology (including science and business aspects)
- 2 years of post-secondary teaching experience
- 3 years of Online education experience (including Course Management systems)
- Educational background includes undergraduate and graduate degrees in business, and Juris Doctorate studies; Current Doctor of Business Administration student.

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**EMPLOYMENT HISTORY**

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**EnTech Capital Ltd., Thousand Oaks, CA** 2008 - Present  
**Founder, President & CEO**  
[www.ENTechCapital.com](http://www.ENTechCapital.com)

*Provide business development, executive management consulting and capitalization services to start-up and early stage clean energy technology companies. **Major Accomplishments:***

- Founded and developed: 1) the National Institute for Clean Energy (national non-profit clean energy “think tank” and coordinative consortium for clean energy technology “roadmapping”; 2) the 101 Technology Corridor Alliance (non-profit alliance for regional clean energy cluster development).
- Provided advisory services to Cleantech Los Angeles, a regional clean technology development consortium of entrepreneurs, local government, public & private entities, universities and investors.
- Developed a new global carbon trading platform (TheCapAndTradeExchange.com).
- Established key cleantech-related strategic alliances including Harvard University (Institute for Strategy & Competitiveness), Green Coast Innovation Zone, VCEDA, Green2Gold, Maverick Angels, Clean Technology Council, Third Way among others.

**Tri-M Management Ltd., Encino, CA** 1984 - 2008  
**Founder, President & CEO**

*Provided business development, executive management consulting and capitalization services to companies in the music & media, high-tech, and fitness industries. **Major Accomplishments:***

- U.S. consultant to Fujisankei Communications Group (4<sup>th</sup> largest media company in the world with over \$5 billion in annual revenues); directed \$250 million U.S. investment
- Developed \$1 billion global expansion plan for Tempo Music, a global music & media distribution company
- Developed business model/plan which helped to raise \$65 million for SiTV Enterprises, a national media network
- Provided executive management consulting services for numerous international music companies including: A&M Records, Atlantic Recording Corp., Black Lion Records, Capital Records, EMI Records, Outwest Entertainment (publicly traded), and Pony Canyon Records
- Track record of developing and acquiring funding for start-ups and early stage companies

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## EDUCATION

<b>Doctor of Business Administration Student</b>	2010 – 2012
<i>SMC University (Zurich, Switzerland) (*Anticipated completion)</i>	
<b>Master of Business Administration Degree (4.0 GPA)</b>	2008 - 2010
<i>Columbia University (Orange Beach, AL, U.S.A.); includes 10 units completed at California State University – Los Angeles, and Loyola Marymount University.</i>	
<b>Juris Doctorate Studies (Completed 30 units)</b>	1982 - 1983
<i>Southwestern University School of Law (Los Angeles, CA, U.S.A.)</i>	
<b>Bachelor of Science, Business Degree (Graduate with Academic Distinction – 3.5 GPA)</b>	1978 -1982
<i>University of Colorado (Boulder, CO, U.S.A)</i>	

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## ALLIANCES & AFFILIATIONS

- Harvard University, Institute for Strategy and Competitiveness (Clusters and Cluster Development) – Disseminates ideas to scholars and business practitioners
- National Institute for Clean Energy – Non-profit clean energy think-tank and research consortium
- 101 Technology Corridor Alliance – Regional clean energy cluster alliance
- Green2Gold – Global network of sustainable business incubator for Green Technologies
- Green Coast Innovation Zone – Tri-County collaboration for sustainable green economic development and environmental improvement
- Third Way (Clean Energy Initiative) – Leading think-tank of the clean energy revolution movement
- Clean Technology Council – Online platform to facilitate collaboration between clean tech entrepreneurs, professionals, businesses and educators.
- CleanTech Group – Online resource providing investors, entrepreneurs, and Fortune 1000 global companies with market intelligence.
- Clean Edge - World's first research and publishing firm devoted to the clean-tech sector

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## SPECIAL AREAS OF EXPERTISE & KNOWLEDGE

- Developer of world's first Online Clean Energy Management Certificate in conjunction with SMC University (Switzerland), a specialized program for C-level and management executives
  - Clean energy expertise including: carbon trading, wind & solar technologies, sustainable transportation, smart-grid technology planning, sustainable electricity power & systems, energy efficiency for buildings, clean energy policy & planning
  - Specialized knowledge of clean technology management and entrepreneurship (including special research and application of clean tech/energy cluster development, and emissions trading)
  - Lecturer & educator (including CA Post-Secondary Teaching Certification): Part-time professor of Music Business studies at Trebas Institute, Hollywood, CA
  - 4 years of Online education experience; specialized knowledge of Course Management Systems (Blackboard, Moodle), including distance learning program development and administration
  - General business development: including business plans, business modeling, financial modeling, business structure, business incubation, negotiations and deal structuring
  - Business capitalization including: grant funding (private & government), venture capital, angel investing, public offering (IPO's and DPO's), private equity funding (Regulation S, Regulation A & Regulation D)
  - Specialized studies and business application of high frequency trading (HFT) technology and systems
  - Corporate accounting, finance & taxation
  - Professional musician (Dizzy Gillespie, Tom Scott, Ray Pizzi, etc.)
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## HONORS & ACHIEVEMENTS

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- SMC Open Essay Contest – 1<sup>st</sup> Prize Winner of international essay writing competition (2011)
- Dean's Scholarship Award (SMC University) - 2010
- M.B.A. graduate with 4.0 GPA (2010)
- B. Sc. graduate with Academic Distinction (3.75 GPA) (1982)
- Presidential Scholars Award - 1978-1980
- Colorado Scholars Award - 1978-1979
- Sachs Foundation Scholarship - 1978-1982
- Outstanding Young Man of America Award - 1982
- CF&I Fellowship/Internship (special alternative energy technologies studies in New York and Washington D.C., and the Library of Congress) - 1975
- Washington Workshops Congressional Seminar – Winner of a travel/research grant to study citizenship education and national government in Washington D.C. - 1974
- Worlds Who's Who - 2007 - 2009
- Who's Who in America – 1978 - 1980
- Who's Who among College Students – 1980 – 1982

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## PUBLICATIONS & LECTURES

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- Author: CD-Rom Industry Report (Published worldwide by Baskerville Communications, 1987)
- Author: Music Video – Untying The Knots, (Published worldwide by Future Systems Group, Inc., 1984)
- Author of numerous unpublished research reports related clean articles related to clean energy/technology; objective is to expand upon and publish this, along with other industry related research
- Author of numerous published articles related to the music and media industry (Music Connection Magazine, Optic Music Magazine, etc.)
- Invited panelist for Clean Equity Monaco (2008) – Offers optimal commercial and strategic acceleration funding opportunities for cleantech companies
- Featured panelist for annual Clean Tech Innovator's Summit (2010)

